



# Account Application Form

## 1. Primary Account Holder (everyone should complete this part)

Name (First)

(Last)

Address

City

State

Zip Code

Country of Citizenship

Country of Legal Residence

Home Phone

Cell Phone

Email Address

SSN

Date of Birth

Employer Name

Occupation

Business Address

City

State

Zip Code

Business Phone

Are you or any immediate family member who resides in the same household, registered as a broker-dealer or an employee, director or owner of a securities or commodities brokerage firm?

Yes

No

## 2. Additional Account Holder (complete only if account is held jointly)

Name (First)

(Last)

Address

City

State

Zip Code

Country of Citizenship

Country of Legal Residence

Home Phone

Cell Phone

Email Address

SSN

Date of Birth

Employer Name

Occupation

Business Address

City

State

Zip Code

Business Phone

Are you or any immediate family member who resides in the same household, registered as a broker-dealer or an employee, director or owner of a securities or commodities brokerage firm?

Yes

No

### 3. Account Holder Information (complete only if account is held by an enti

Entity Name

Address

City

State

Zip Code

Business Phone

### 4. Trust Information (complete only for trust accounts)

Trust Name

Type of Trust

Trust Governed by the State Laws of

Trust Tax ID #

Trust Legal Address

City

State

Zip Code

Trust Mailing Address (if different):

City

State

Zip Code

## 5. Trustee Information

Name (First)

(Last)

Address

City

State

Zip Code

Country of Citizenship

Country of Legal Residence

Home Phone

Cell Phone

Email Address

SSN

Date of Birth

Employer Name

Occupation

Business Address

City

State

Zip Code

Business Phone

Are you or any immediate family member who resides in the same household, registered as a broker-dealer or an employee, director or owner of a securities or commodities brokerage firm?

Yes

No

## 6. Account Holder Personal Profile

Current Annual Income

\$0 - \$250,000

\$250,000 and above

Total Net Worth, (excluding primary residence)

\$0 - \$1,000,000

\$1,000,000 and above

Total Liquid Net Worth

\$0 - \$500,000

\$500,000 and above

How knowledgeable are you with regard to finance and investing?

Minimal. I have very little knowledge of finance and investing.

Low. I have only the basic knowledge of finance, such as stocks, bonds and mutual funds.

Medium. I have knowledge beyond basic products and I understand diversification and other financial and investing terminology and

High. In addition to understanding products and terminology, I understand factors that affect the price of stocks and bonds.

Advanced. I have an in depth knowledge of most financial products, including stocks, bonds, and options. I understand overall market risk as well as company-specific risk.

In the past have you owned each/any of the following securities or investment vehicles?

Mutual Funds

Stocks

Bonds

Fixed Annuities

Indexed / Variable Annuities

ETFs

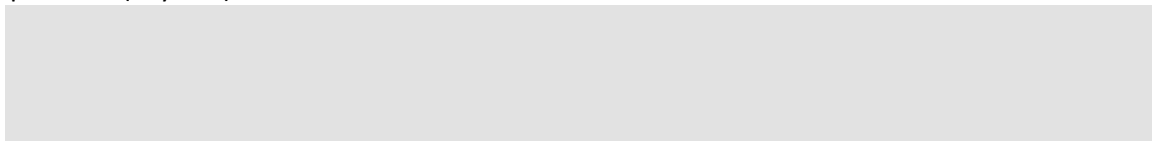
REITs

Limited Partnerships

Other (provide details)

Describe your financial goals for the capital invested in this/these accounts (retirement funding, educational cost, other...).

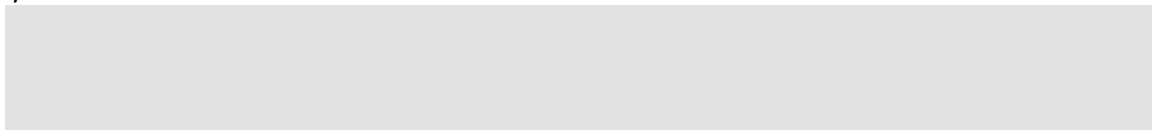
What will be your liquidity needs from this/these accounts? What is your time horizon for the portfolio (in years)?

A large rectangular grey box used to redact the response to the first question.

What percentage of your total investment portfolio will you initially place under Fleurus'

A horizontal grey bar used to redact the response to the second question.

Please provide any additional details that may be useful as we manage this/these accounts on your behalf.

A large rectangular grey box used to redact the response to the third question.

## 7. Risk Tolerance Questionnaire

Which of the following best reflects your investment objectives?

I seek to preserve my investments and accept minimal return to pursue my objective.

I seek to generate income from my investments and am interested in investments that have historically demonstrated a low degree of risk of loss of principal value.

I seek to grow the principal value of my account(s) over time and am willing to invest in securities that have historically demonstrated a moderate degree of risk of loss of principal value to pursue my objective.

I seek to grow a greater amount of the principal value of my investments over time and am willing to invest in securities that have historically demonstrated a moderate to above average degree of risk of loss of principal value to pursue this objective.

I seek a significant increase in the principal value of my investments and am willing to accept a corresponding greater degree of risk by investing in securities that have historically demonstrated a high degree of risk of loss of principal to pursue this objective.

From September 2008 through November 2008, US stocks lost more than 31% of their value. If you owned a stock investment that lost about 31% of its value in three months, would you... (please select the answer that best matches your actions at the time.).

Sell all of the remaining investment

Sell some of the remaining investment

Hold on to the investment and sell nothing

Buy more of the investment

Other. Explain \_\_\_\_\_

When the market goes down, I tend to sell more of my riskier assets and put the money in safer investments.

I strongly disagree

I disagree

I somewhat disagree

I agree

I strongly agree

Date: \_\_\_\_\_

Your Signature: \_\_\_\_\_